

Sustainability Indicator 3: Compliance

The Charter Compliance Indicator ensures that the charter school has complied with legal and regulatory responsibilities. Any additional context would be included with notes, depending on the extent of the violation.

Annual Rating

The annual rating summarizes the performance on the indicator's criteria.

	Meets Expectations	Approaches Expectations	Does Not Meet Expectations
	<p>All criteria associated with Federal law/regulation are rated as "Meets."</p> <p>AND</p> <p>No more than one criterion not associated with Federal law/regulation is rated as "Does Not Meet."</p>	<p>One criterion associated with Federal law/regulation is rated as "Does Not Meet."</p> <p>OR</p> <p>Two or more criteria are rated as "Does Not Meet."</p>	<p>Three or more criteria are rated as "Does Not Meet."</p>

	Compliance Matter		Meets Expectations	Does Not Meet Expectations	Notes
Student Rights	3.1	There is not an unresolved material violation with laws and regulations as reviewed by the Office for Civil Rights.			
	3.2	There is not an unresolved material violation with laws and regulations relating to IDEA (Special Education) as reviewed by the Office of Student, Community and Academic Support.			
	3.3	There is not an unresolved material violation with laws and regulations relating to Title III (English Language Learners) as reviewed by the Office of Student, Community and Academic Support.			
	3.4	There is not an unresolved material violation with laws and regulations relating to Title I as reviewed by the Office of Student, Community and Academic Support.			

	3.5	The school appropriately utilizes the RI enrollment lottery application, submits the charter school applicant report and has policies/ procedures in place to ensure a fair and equitable lottery system.			
Employee Management	3.6	There is not an unresolved material violation with laws and regulations relating to Highly Qualified Teacher and Paraprofessional requirements including those within Title II of the Elementary and Secondary Education Act [ESEA]) as reviewed by the Office of Educator Quality.			
	3.7	The school has established human resource procedures and an employee handbook that addresses employee rights.			
	3.8	There is not an unresolved material violation with laws and regulations relating to teacher and staff evaluation as reviewed by the Office of Educator Quality.			
Health & Safety	3.9	The school has secured and maintained Current Documentation of Fire Code Inspection, Certificate of Occupancy and Appropriate Certificate of Insurance Coverage.			
	3.10	There is not an unresolved material violation with laws and regulations relating to appropriate school health services protocols, procedures, standing orders, plans, and policies as required in the annual submission of the Annual School Health Report (ASHR) and reviewed by the Office of Student, Community and Academic Support.			
	3.11	There is not an unresolved material violation with laws and regulations relating to food service requirements as reviewed by the Office of Statewide Efficiencies.			
	3.12	The school has documented behavior and school safety policies.			
Educational Program	3.13	The school is practicing essential educational program components as defined by the school's charter, state law and regulation.			
	3.14	For all grades and in all core-content area subjects, the school implemented curricula that are aligned to statewide standards.			

	3.15	The school has submitted all required information via reporting tools including but not limited to TCS, enrollment, attendance.			
	3.16	The school has a policy to abide by all laws and regulations regarding length of school day and year.			
School Leadership	3.17	The charter's board complied with all open meetings, public records requests, and the State Employee Code of Ethics.			
	3.18	The Board maintains and implements board bylaws.			
	3.19	The Board has policies and procedures for addressing conflicts of interest and stakeholder complaints.			
Financial Management	3.20	Necessary budget revisions during the school year were made and formally approved by the charter's board.			
	3.21	The school's Quarterly Financial Reports were submitted on time and with accurate information.			
	3.22	The school submitted its Quarterly UCOA data on time and with accurate information.			
	3.23	The school submitted its Agreed Upon Procedure Audits on time and with accurate information.			
	3.24	The school submitted its Annual Budget on time and with accurate information.			
	3.25	The school submitted its Annual Financial Audit on time and with accurate information.			
	3.26	The school received an unqualified/unmodified audit.			
	3.27	The school's auditors determined the school had "no significant deficiencies" or equivalents.			
	3.28	The school's auditors determined the school had "no material weaknesses" or equivalents.			
	3.29	The school received an unmodified/unqualified single audit? <i>(only applicable beginning FY16 when a school has spent \$750K+ in Federal Funds)</i>			